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Applicant(s): ANGELEY, DAVID G. ET AL.

Serial No. / Patent No.: 09/814,443

Title: SCANNING LASER HANDPIECE WITH SHAPED OUTPUT BEAM

EPLC Docket No.: P-4285-US

Hand Delivered on: 27 August 2002

1. ☐ Provisional Cover Sheet
2. ☐ Utility Patent Application Transmittal
3. ☐ RCE Transmittal Sheet
4. ☐ Transmittal Sheet for Entering National Phase
5. ☐ Fee Transmittal Sheet
6. ☐ Patent Application Under 35 USC 111(a)

☐ Provisional Patent Application Under 35 USC 111(b)

Containing:

_____ pages of Specification

_____ pages of Claims

_____ page of Abstract

_____ pages of Formal Drawings

_____ pages of _____

7. ☐ Signed Declaration & Power of Attorney
8. ☐ Revocation and Power of Attorney, including
-Statement Under 37 CFR 3.73 (b)
-Copy of Assignment
9. ☐ Recordation of Assign. Cover Sheet & Signed Assignment
10. ☐ Request for Correction of Recordation of Assign. and:
-Recordation Cover Sheet
-Copy of Notice of Recordation of Assign.

11. ☐ Response to Notice to File Missing Parts
12. ☐ Response to Notice of Incomplete Reply
13. ☐ Request for Correction of Filing Receipt
14. ☒ Information Disclosure Statement including
Form PTO 1449 and reference AA
15. ☐ Amendment to Office Action dated _____

16. ☐ Preliminary Amendment

17. ☐ Petition for a Two Month(s) Extension of Time

18. ☐ Notice of Appeal ☐ Appeal Brief

19. ☐ Issue Fee Transmittal Sheet

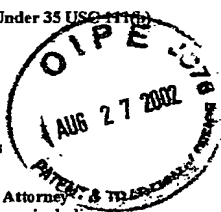
20. ☐ Submission of Formal Drawings: Two sets of
Sheets containing Figs. _____

21. ☐ Certified Copy of Priority Doc.

22. ☐ Claim for Priority under 35 U.S.C. Section 119

23. ☒ Other: PCT Search Report

LSA# 7573





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| <p>1. <input type="checkbox"/> Provisional Cover Sheet</p> <p>2. <input type="checkbox"/> Utility Patent Application Transmittal</p> <p>3. <input type="checkbox"/> RCE Transmittal Sheet</p> <p>4. <input type="checkbox"/> Fee Transmittal Sheet</p> <p>5. <input type="checkbox"/> Patent Application Under 35 USC 111(a)</p> <p> <input type="checkbox"/> Provisional Patent Application Under 35 USC 111(b)</p> <p> <input type="checkbox"/> Transmittal Sheet for Entering National Phase</p> <p> Containing:</p> <p> ___ Pages of Specification</p> <p> ___ Pages of Claims</p> <p> ___ Page of Abstract</p> <p> ___ Pages of Formal Drawings</p> <p> ___ Pages of ___</p> <p>6. <input type="checkbox"/> Signed Declaration & Power of Attorney</p> <p>7. <input type="checkbox"/> Request for Correction of Recordation of Assign. and:</p> <p> - Recordation Cover Sheet</p> <p> - Copy of Notice of Recordation of Assign.</p> <p>8. <input type="checkbox"/> Recordation of Assign. Cover Sheet & Signed Assign.</p> | <p>9. <input type="checkbox"/> Response to Notice to File Missing Parts</p> <p>10. <input type="checkbox"/> Response to Notice of Incomplete Reply</p> <p>11. <input type="checkbox"/> Request for Correction of Filing Receipt</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement including:</p> <p> - Form PTO 1449 and reference <u>AA</u></p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Response to Office Action dated ___</p> <p>15. <input type="checkbox"/> Petition for a One Month(s) Extension of Time</p> <p>16. <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief ___</p> <p>17. <input type="checkbox"/> Issue Fee Transmittal Sheet</p> <p>18. <input type="checkbox"/> Submission of Formal Drawings: Two sets of</p> <p> ___ Sheets containing ___ Figs.</p> <p>19. <input type="checkbox"/> Certified Copy of Priority Doc.</p> <p>20. <input type="checkbox"/> Claim for Convention Priority</p> <p>21. <input type="checkbox"/> Revocation and Power of Attorney, including:</p> <p> - Statement Under 37 CFR 3.73(b)</p> <p> - Copy of Assignment</p> <p>22. <input checked="" type="checkbox"/> Other: <u>PCT Search Report</u></p> |
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PATENT
P-4285-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): ANGELEY, David G. et al.

SERIAL NO.: 09/814,443

EXAMINER: Not yet known

FILED: March 22, 2001

GROUP ART UNIT: 3739

FOR.: SCANNING LASER HANDPIECE WITH SHAPED OUTPUT BEAM

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, this Information Disclosure Statement includes:

1. ☒ Documents including patents, publications, and other information listed on the attached Form PTO-1449 for consideration by the Examiner;
2. ☐ Form PTO-1449 which lists documents including patents, publications and other information for consideration by the Examiner but in accordance with 37 C.F.R. 1.98(d) does not include those documents which have been previously cited or submitted to the Patent Office in the following prior application U.S. Serial No. _____, filed _____ which is properly identified and relied on.
3. ☐ Other information for the Examiner's consideration which was cited in a communication from a foreign patent office in a counterpart foreign application.

The information herein cited is only in fulfillment of Applicant(s) duty of candor in disclosing all information brought to Applicant(s) attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the

listed documents do not constitute "prior art" under United States law, Applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each and every document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application and is evidence that the Examiner has considered the document.

This Information Disclosure Statement is being filed:

- I) ☒ Within three (3) months of filing the subject Application or entry of the subject Application into the national stage or before mailing of the first Office Action on the merits whichever event occurs last pursuant to of 37 C.F.R §1.97 (b); or
- II) ☐ After the period specified in (I) but before the mailing date of either a final Official Action under 37 C.F.R §1.113 or a notice of allowance under 37 C.F.R §1.311 whichever occurs first;

1. ☐ The undersigned hereby states that each item of information listed on the Form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or

2. ☐ the undersigned hereby authorizes the Patent Office to charge the fee in the amount of \$180.00 under 37 C.F.R §1.17 (p) to Deposit Account 05-0649.

- III) ☐ After the period in (I) and (II) but before the payment of the issue fee,

1. The Undersigned hereby states:

a) ☐ that each item of information cited on the form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart

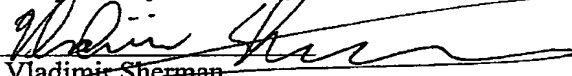
foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or

b) ☐ that no items of information contained in Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; and

2. ☐ The Undersigned hereby authorizes the Patent Office to charge the Petition fee in the Amount of \$180.00 under 37 C.F.R §1.17 (p) to Deposit Account 05-0649.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 05-0649.

Respectfully submitted,



Vladimir Sherman

Attorney for Applicant(s)

Registration No. 43,116

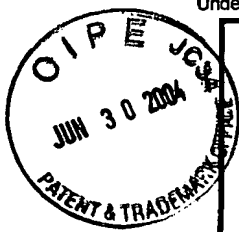
Dated: August 27, 2002

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$$71 + \underline{\hspace{1cm}}$$

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/814,443
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Filing Date	March 22, 2001
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First Named Inventor	ANGELEY, David G.
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Group Art Unit	3739
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Examiner Name	Not yet known
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Sheet

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of

1

Attorney Docket Number

P-4285-US

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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